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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/900,503	
			Filing Date	July 6, 2001	
			First Named Inventor	Hu et al.	
			Group Art Unit	3732	
Examiner Name	Jeffery A. Smith				
Sheet	1	of	10	Attorney Docket Number	GUID-012CON

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Documents		Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
[Signature]	A1	452,131		May, 1891	Haughwout	
	A2	810,675		Jan., 1906	Richter	
	A3	2,296,793		Sep., 1942	Kirschbaum	
	A4	2,590,527		Mar., 1952	Fluck	
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	A7	3,392,722		Jul., 1968	Jorgensen.	
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	A15	4,049,002		Sep., 1977	Kletschka et al.	
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	A25	4,637,377		Jan., 1987	Loop	
	A26	4,646,747		Mar., 1987	Windback.	

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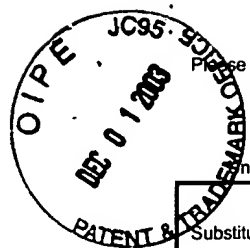
GROUP 3600

Examiner Signature	[Signature]	Date Considered	4/4/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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A27	4,718,418	Jan., 1988	L'Esperance, Jr.
A28	4,726,356	Feb., 1988	Santilli et al.
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A32	4,754,746	Jul., 1988	Cox.
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A45	4,989,587	Feb., 1991	Farley.
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A47	5,009,660	Apr., 1991	Clapham
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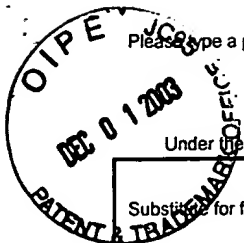
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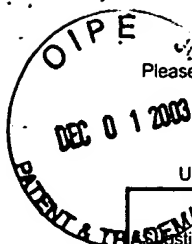
A58	5,167,223		Dec., 1992	Koros et al.	
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A60	5,231,974		Aug., 1993	Giglio et al.	
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A89	5,807,243	Sep., 1998	Vierra et al.
A90	5,813,410	Sep., 1998	Levin
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A109	5,976,080	Nov., 1999	Farascioni
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A112	6,017,304	Jan., 2000	Vierra et al.
A113	6,019,722	Feb., 2000	Spence et al.
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A116	6,033,362	Mar., 2000	Cohn
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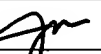
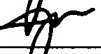



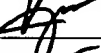

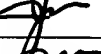


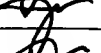
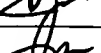
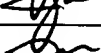
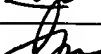
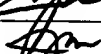

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<i>Jan</i>	A120	6,071,295		Jun., 2000	Takahaski	
<i>Jan</i>	A121	6,099,468		Aug., 2000	Santilli et al.	
<i>Jan</i>	A122	6,102,854		Aug., 2000	Carfier et al.	
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		Office <sup>3</sup>	Number <sup>4</sup> Code <sup>5</sup> (if known)			
	B1		WO 87/04081		Jul., 1987	WO.
	B2		0 293 760 A4- <b>B1</b>		<del>Dec., 1988</del> <b>FEB 1995</b>	<del>DE EP</del>
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	B13		WO 96/00033		Jan., 1996	WO.
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	B16		WO 98/48703		Nov., 1998	WO.

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B17	WO 98/49947	Nov., 1998	WO.	
B18	WO 99/08585	Feb., 1999	WO.	
B19	WO 00/06041	Feb., 2000	WO.	
B20	0 993 806 A2	April, 2000	EP	
B21	WO 00/42921	Jul., 2000	WO.	
B22	WO 00/42935	Jul., 2000	WO.	
B23	WO 00/42936	Jul., 2000	WO.	
B24	WO 00/42937	Jul., 2000	WO.	

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	C1	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174.	
	C2	Angelini, G.D., M.D. et al., "A Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. (1990;50:314-5).	
	C3	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.	
	C4	Beg, R.A. H. Naraghipour, E.B. Kay, and P. Rullo, "Internal Mammary Retractor," Ann Thorac. Surg. 39 (1985) 286-287).	
	C5	Akins, C. W. et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Grafts Without Cardiopulmonary Bypass," American Heart Journal, vol. 107, No. 2, Feb. 1984, pp. 304-309.	
	C6	Angelini, G. D., M. D., "A Simple Inexpensive Method of Heart Retraction During Coronary Artery Bypass Surgery," Ann Thorac Surg 46:46-247, Aug. 1988.	
	C7	Archer, R., DO et al., "Coronary Artery Revascularization Without Cardiopulmonary Bypass," Texas Heart Institute Journal, vol. 11, No. 1, Mar. 1984, pp. 52-57.	
	C8	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996;61:1271-2.	

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<i>[Signature]</i>	4/4/04

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*Cohen et al.*

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Examiner Signature	Date Considered
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# **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 8 of 10

## **Complete if Known**

Application Number 09/900,503  
Filing Date July 6, 2001  
First Named Inventor Hu et al.  
Group Art Unit 3732  
Examiner Name Jeffery A. Smith

Attorney Docket Number GUID-012CON

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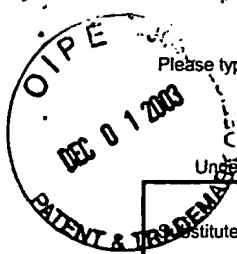
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		Application Number	09/900,503		
		Filing Date	July 6, 2001		
		First Named Inventor	Hu et al.		
		Group Art Unit	3732		
Sheet	9	of	10	Examiner Name	Jeffery A. Smith
				Attorney Docket Number	GUID-012CON

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